

Workshop on

Good Regulatory Practices (GRP) and Regulatory Impact Analysis (RIA)

Conformity Assessment Procedures in GSO Technical Regulations

Riyadh, 24 April 2017

Two parts of compliance monitoring system

PRE-MARKET ASSESSMENT

- ❖ **Products must fulfil essential requirements.**
- ❖ **responsibility of the manufacturer (or importer)**

POST-MARKET CONTROL

- ❖ **Member States ensure that products in their markets are compliant**
- ❖ **MSA conduct market surveillance**

CAP - Role of Producers

1. To **determine applicable** TRs and CAPs
 2. To **choose suitable** notified body (if applicable)
 3. To **conduct applicable** CAP
 4. To **draw up** the Technical File
 5. To **issue the GCC declaration** of conformity
 6. Affix the GCC conformity mark
- ➡ **Free putting and circulation of products within the single market**

OBLIGATIONS OF ECONOMIC OPERATORS

EXAMPLE : GSO Technical Regulation on LVEE

BD142004-01

Ensuring safety and compliance

Manufacturer

- ❖ Ensuring compliance with the essential and specific safety requirements;
- ❖ Drawing up the technical documentation and proceed to Risk Analysis and Conformity Assessment;
- ❖ Establishing the Manufacturer's DoC and affixing the **GC marking on Products**;

Traceability & monitoring

Manufacturer

- ❖ Keeping Technical documentation and maintaining compliance;
- ❖ Ensuring traceability (Product Registration) and providing information and warnings;
- ❖ Taking correctives measures;
- ❖ Cooperating with competent national authorities

OBLIGATIONS OF ECONOMIC OPERATORS

May be appointed by a written mandate

Legal Representative

❖ Shall perform the tasks specified in the mandate...;

- keep the Manufacturer's DoC and the technical documentation
- ...Provide authorities with all the information and documentation necessary...
- cooperate with the competent national authorities...

❖ Could not:

- draw up the technical documentation

Place only compliant toys on the market

Importer

❖ Ensures:

- ❑ that the appropriate CAP has been carried out
- ❑ could not proceed to CAPs

❖ Ensures :

- ❑ technical documentation is drawn up
- ❑ toys bear the required conformity marking, and are accompanied by the required documents, and
- ❑ Compliance with requirements on traceability (Product Registration)

❖ Issues the Importer's DoC

❖ Ensures safety monitoring

- ❑ Takes corrective measures and participate in safety monitoring
- ❑ Ensures... storage or transport conditions do not jeopardize its compliance
- ❑ Cooperates with the competent national authorities...

Participation & cooperation

Distributor

❖ Verifies

- ❑ conformity marking, (and GCTS)
- ❑ required documents, instructions and safety information
 - mainly DoC,
- ❑ compliance with the requirements on traceability.

❖ Takes corrective measures and participate to safety monitoring

❖ Ensures... storage or transport conditions **do not jeopardize** its compliance

❖ ..., **provides authorities** with all the information and documentation necessary...

CONFORMITY of ELECTRICAL EQUIPMENT

❖ General safety requirement (Art 4)

- ❑ ...**not to endanger the safety** of **persons, property** and **environment** when properly installed, maintained, and used in applications for which it was made

❖ EMC Requirement

- ❑ Art 6 and Annex 1 par. 4

❖ Presumption of conformity:

- ❑ GSO standards (GSO Policy on adoption of international standards)
- ❑ IEC standards

CONFORMITY ASSESSMENT

Two categories of electrical equipment

❖ List (1) (lower category of hazard)

- CAP in Annex 3

❖ List (2) (upper category of hazard)

- CAP in Annex 4

❖ Presumption of conformity to IECEE CB Scheme

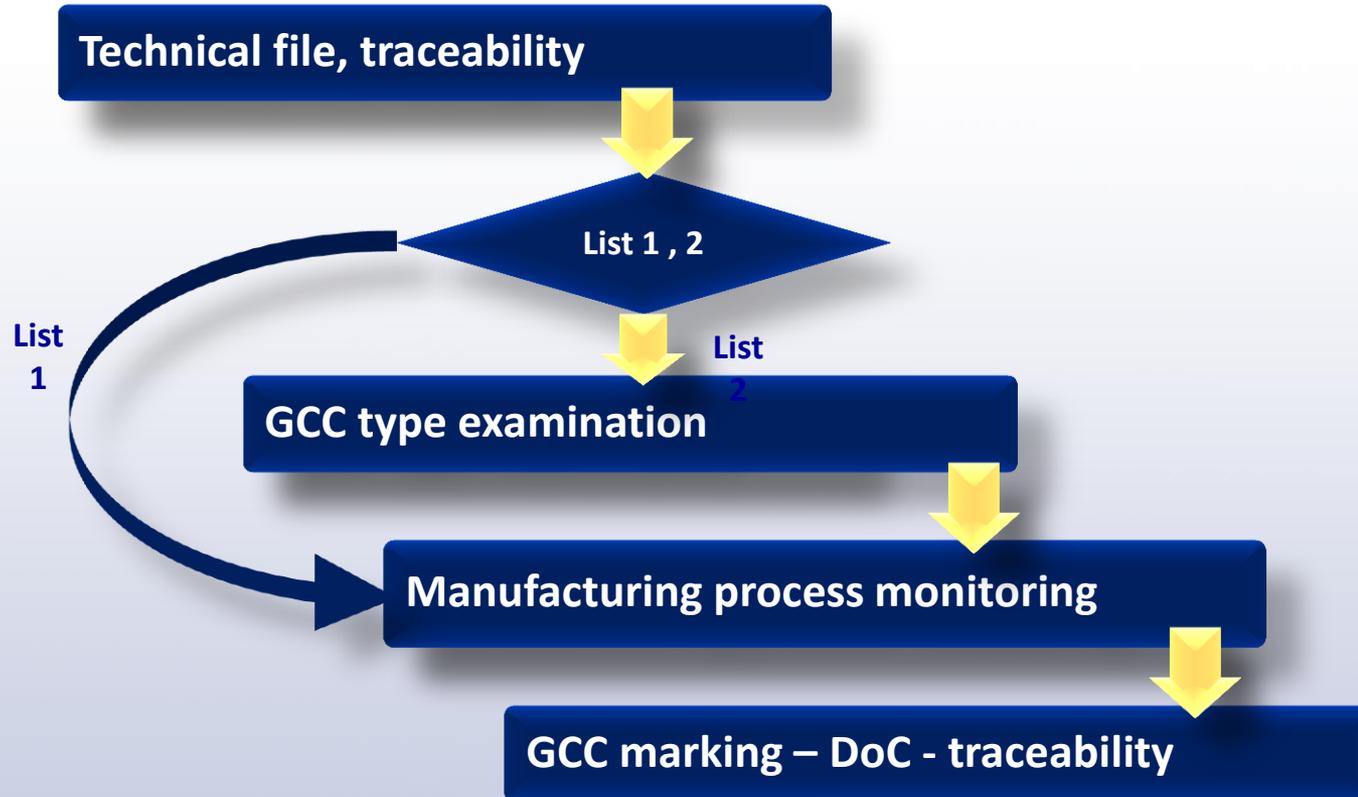
❖ Lists published and updated in GSO website :

<http://gso.org.sa/gso-website/gso-website/activities/conformity/technical-regulations-and-guides>

- Starting with list 2

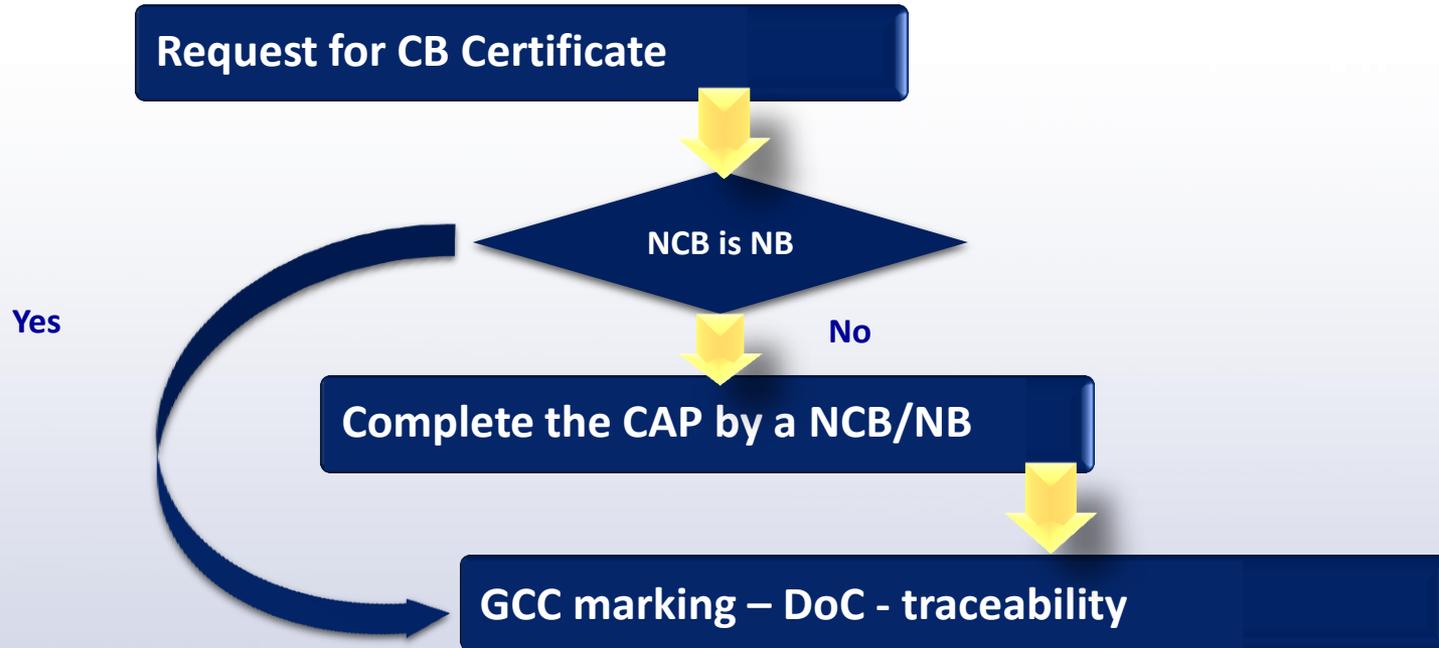
CONFORMITY ASSESSMENT

Manufacturer



CONFORMITY ASSESSMENT

IECEE CB Scheme



CONFORMITY ASSESSMENT

Role of Importer

ENSURING Manufacturer has complied :

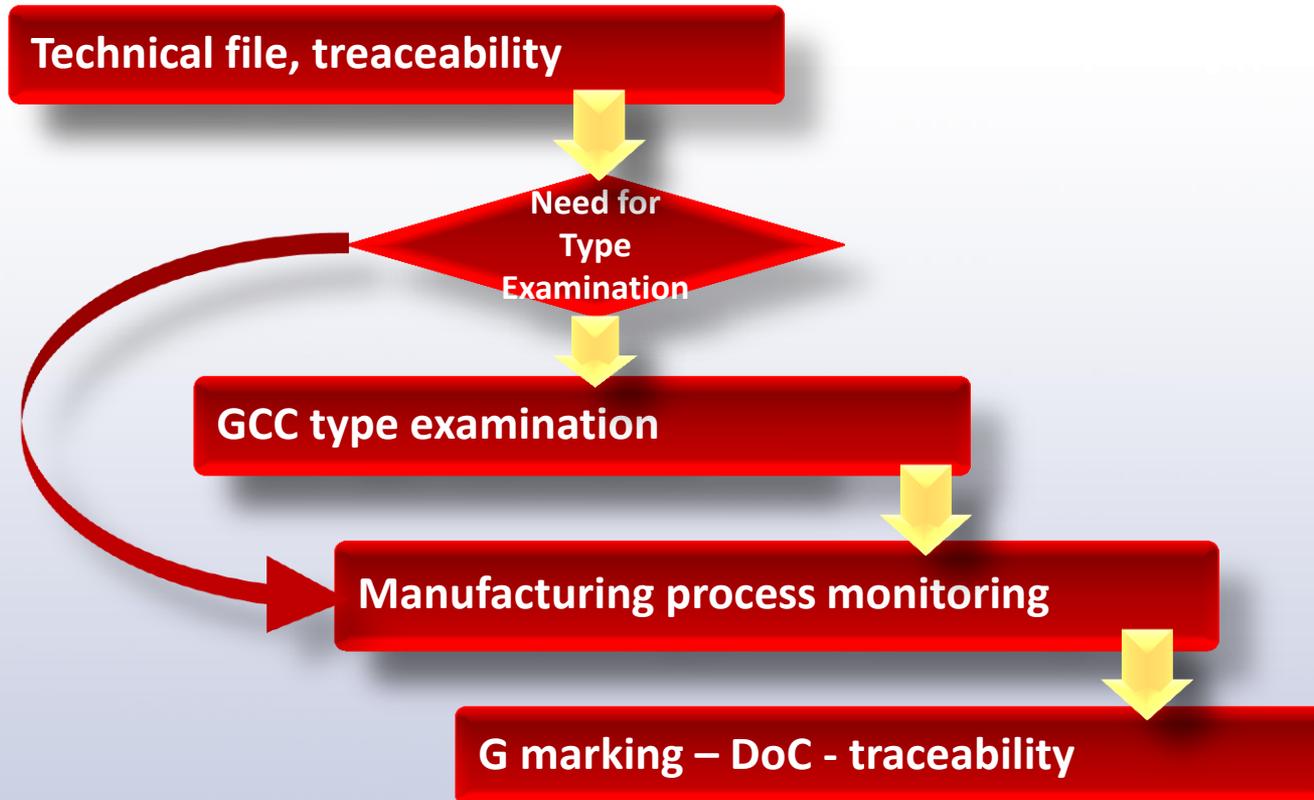
Appropriate CAP
Technical documentation, (with DoC)
Conformity marking,
Required documents,
Traceability

Importer's DoC

TR on TOYS SAFETY

Conformity Assessment

Manufacturer



Importer having access to manufacturer

ENSURING Manufacturer has complied :

Appropriate CAP
Technical documentation, (with DoC)
Conformity marking,
Required documents,
Traceability

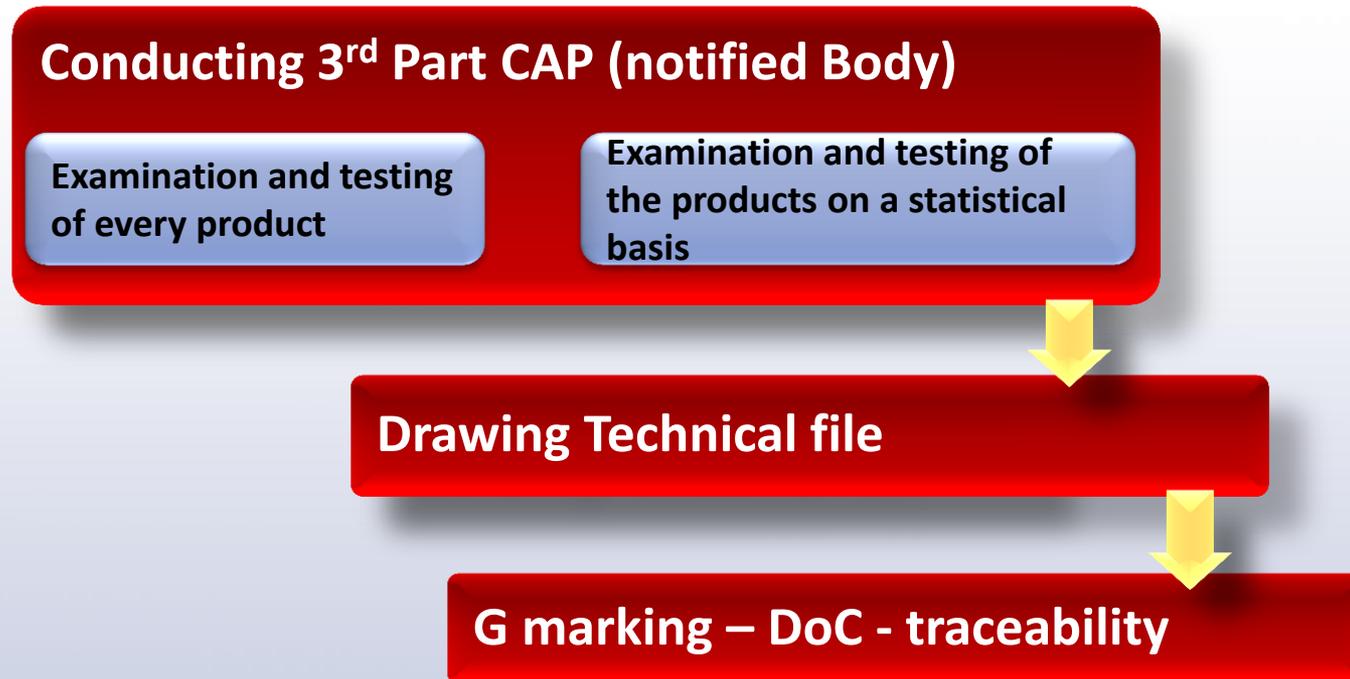
Importer's DoC

Importer not having access to manufacturer

Importer

- ❖ **Conducts importer's CAP**
 - ❑ **Conformity based on product verification**
- ❖ **Draw up technical documentation**
- ❖ **Ensures compliance on traceability**
- ❖ **Affixes the required marking**
- ❖ **Issues the GC importer's DoC.**

Importer not having access to manufacturer



THANK YOU

